

Model Portfolio Illustration Questionnaire

Date

Name

Eventide’s Investment Consulting Group provides values-based portfolio illustrations to advisors based on selected constraints and relevant risk and return objectives. Use this questionnaire to tell us more about your values and investment process.

A “love your neighbor” approach to investing can mean actively seeking out companies that are **creating value for stakeholders**, as well as **avoiding certain products or practices**.

1. Values Assessment

How integrated is faith- or values-based investing in your central business?

- Just investigating.
- Mostly driven by client request.
- I provide a subset of my clients with faith- or values-aligned portfolios.
- My entire business is built on faith- or values-based investing.

When selecting a fund manager, how important is each of the following to you?

- Avoid investing in companies whose products and practices harm others
- Embrace companies whose products and practices positively impact others
- Engage with portfolio companies through active fund managers

Extremely
Important Important Not a
Focus

How important is positively impacting each of the following stakeholders to you?

- Employees
- Customers
- Suppliers
- Host Communities and Society
- Environment

Which values would you like reflected in the portfolio illustration?

Promoting family and community

- by helping meet the credit needs of low income communities (e.g. community reinvestment bonds)
- by avoiding pornography and explicit entertainment
- by avoiding industries that enable human trafficking

Respecting the value and freedom of all people (including freedom from addictive behaviors)

- by avoiding alcohol
- by avoiding gambling
- by avoiding tobacco

Valuing the right to life at all stages

- by avoiding abortive/contraceptives/stem cell
- by avoiding controversial weapons, military contracting, and small arms

Practicing environmental stewardship

- by pursuing sustainable corporate initiatives (e.g. green bonds)
- by pursuing sustainable practices relative to peers and sustainable corporate initiatives
- by reducing exposure to animal harm
- by reducing industry exposures related to environmental harm or controversy (e.g. thermal coal, pesticides, GMOs, palm oil, fossil fuels)

Is there anything else you would like us to consider?

Are there any fund families you would like to include or exclude?

2. Investment Process

What investment considerations do you take into account when building client portfolios?

I use models driven by my home office or outside provider

I prefer to build my own models and manage my own allocations

Please see my model portfolio(s) attached

I don't employ a model portfolio solution. Each account is managed on a custom basis.

Which portfolio analysis tool(s) do you prefer? Morningstar Riskalyze Other:

Ideally, how many funds would you like to be included in your portfolio illustration? <5 5-10 >10

Is there a strategic allocation framework you would like reflected in the model portfolio illustrations? If yes, please offer details below: No Yes See Attached

Illustrations? If yes, please offer details below:

Portfolio Illustration Risk Target ¹	Conservative		Moderately Conservative		Moderate		Moderately Aggressive		Aggressive	
	Target	+/-	Target	+/-	Target	+/-	Target	+/-	Target	+/-

Equities

Domestic Large Cap

Domestic SMID Cap

International

Fixed Income

Diversifiers & Cash

TOTAL

US Equity Style Bias? (optional)	Investment Style			Size	Fixed Income Style Bias? (optional)	Interest-Rate Sensitivity			Credit Quality
	Value	Core	Growth			Limited	Moderate	Extensive	
				Large					
				Mid					
				Small					

Would you like to emphasize other factors in addition to the asset classes and styles referenced? (Attach additional documents if necessary)

1. This allocation framework is provided by Eventide Asset Management, LLC.

The data reflected in this questionnaire is provided by the Financial Professional (“Requester”) requesting values-based information from Eventide Asset Management, LLC. Any discussion or resulting report is for informational purposes only and should not be viewed as investment advice.